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VIA FACSIMILE: 1-571-273-8300

Atty. Docket No. AUTO 406

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Darin D. Tuttle et al.  
Group Art Unit : 2875  
Appln. No. : 10/796,649  
Filing Date : March 9, 2004  
For : OPTICS FOR CONTROLLING THE DIRECTION OF  
LIGHT RAYS AND ASSEMBLIES  
INCORPORATING THE OPTICS  
Confirmation No. : 2200

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being transmitted by facsimile to the Patent and Trademark Office on the date shown below:

1. Amendment
2. Claims as Amended

YOU SHOULD RECEIVE A TOTAL OF 23 PAGES.

10/16/06  
Date

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Kresta L. DeZwaan  
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NO. 0289 P. 2

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Attorney Docket No. AUTO 406

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Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Enclosed is an Amendment in response to the Notice of Non-compliant  
Amendment dated October 13, 2006 in furtherance of the Office Action dated August 29,  
2006.

Any fee for additional claims has been calculated as shown below:

CLAIMS AS AMENDED

	Col. 1		Col. 2	Col. 3	Small Entity		Other Than A Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total Claims	*82	Minus	**83	=0	x \$25	\$00	x \$50	\$ 00.00
Independent Claims	*5	Minus	**5	=0	x \$100	\$00	x \$200	\$ 00.00
First Presentation of Multiple Dependent Claims \$180						\$00	x \$360	\$00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$00		\$00.00

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write  
"20" in this space.

*Attorney Docket No. AUTO 406*

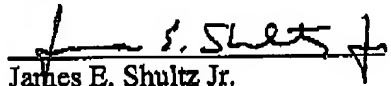
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

\*\*\*\* The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

1. ☐ Small entity status of this application 37 CFR §§ 1.9 and 1.27 has been established by a verified statement previously submitted or is enclosed.
2. ☐ No additional fee is required.
3. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
4. ☐ Charge a one-month extension Fee in the amount of \_\_\_\_\_ to Deposit Account 07-1070.
5. ☒ Please charge all fees or credit overpayment to Deposit Account No. 07-1070. A duplicate of this sheet is attached.

Respectfully submitted,

Date: October 18, 2006

  
James E. Shultz Jr.  
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**Attorney Docket No. AUTO 406**

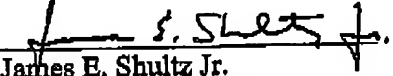
\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

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1. \_\_\_\_\_ Small entity status of this application 37 CFR §§ 1.9 and 1.27 has been established by a verified statement previously submitted or is enclosed.
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Respectfully submitted,

Date: October 18, 2006

  
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Examiner : Y My Quach Lee  
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Mail Stop Amendment  
Commissioner for Patents  
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Alexandria VA 22313-1450

**AMENDMENT**

Sir:

In response to the Notice of Non-compliant Amendment dated October 13, 2006 in furtherance of the Office Action dated August 29, 2006 the Applicant offers the following response:

Please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.

**Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application.